

# Concordances in 3-manifolds

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## Introduction

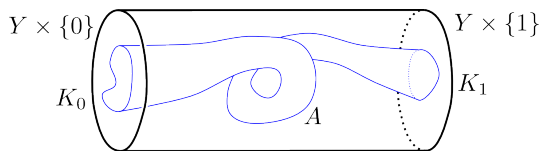


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$K_0, K_1 \in \mathcal{K}(Y)$  are **concordant** ( $K_0 \sim K_1$ ) if there exists an annulus  $A \cong S^1 \times [0, 1]$  in  $Y \times [0, 1]$  such that  $A \cap Y \times \{i\} = K_i$  for  $i = 0, 1$ . Concordance is an equivalence relation on  $\mathcal{K}(Y)$ .



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If  $K_0 \sim K_1$ , then  $[K_0] = [K_1]$ , so we have the splitting:

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If  $Y = S^3$ , the connected sum endows  $\mathcal{C} = \mathcal{C}^{S^3}$  with a **group** structure. Otherwise there is no fancy algebra in  $\mathcal{C}^Y$ .

However there is a natural action  $\mathcal{K}(S^3) \curvearrowright \mathcal{C}^Y$  given by:

$$(S^3, K) \cdot [(Y, K')] = [(Y, K \# K')]$$

Definition

Two knots  $K_0, K_1 \in \mathcal{K}(Y)$  are **almost-concordant**,  $K_0 \sim K_1$ , if there exist two knots  $K'_0, K'_1 \in \mathcal{K}(S^3)$  such that

$$K_0 \# K'_0 \sim K_1 \# K'_1$$

$\exists$  Concordant knots are also almost-concordant. The converse is already false for  $S^3$ !

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$K \in \mathcal{K}(Y)$  can be:

- **Nullhomologous** if it represents the class  $0 \in H_1(Y; \mathbb{Z})$ .  
 $\Leftrightarrow$  boundary of embedded surfaces in  $Y$ .
- **Local** if it is contained in a 3-disk embedded in  $Y$ .  
 $\Leftrightarrow (Y, K) = (S^3, K') \# (Y, \bigcirc)$ .
- **Prime** if  $(Y, K) = (Y, K_0) \# (S^3, K_1) \Rightarrow K_1 = \bigcirc$ .

Note that the only relation is **local**  $\implies$  **nullhomologous**.

Theorem (Kirby-Lickorish for  $S^3$ ):

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## A “concrete” example

### Lens spaces

Closed 3-manifolds  $L(p, q)$  obtained by  $-\frac{p}{q}$  Dehn surgery on  $\bigcirc \subset S^3$ .

Using a modified version of Ozsváth-Szabó/Rasmussen’s  $\tau$ -invariant, we can obstruct the existence of almost-concordances between knots in  $L(p, q)$ .

In  $S^3$  it is an homomorphism:

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Extracted from the filtered quasi-isomorphism type of the knot Floer complex  $\widehat{CFK}(S^3, K)$ .

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In  $L(p, q)$ :

$$\tau = (\tau^0, \dots, \tau^{p-1}) : \mathcal{C}^{L(p, q)} \longrightarrow \mathbb{Z}^p$$

Behaves in a controlled way under the action of  $\mathcal{C}$ : if  $(L(p, q), K) = (S^3, K_0) \# (L(p, q), K_1)$

$$\tau^i(K) = \tau(K_0) + \tau^i(K_1)$$

Hence we can define the  $\tau$ -shifted invariant

$$\tau_{sh}(K) = (\tau^0(K) + n, \dots, \tau^{p-1}(K) + n)$$

where  $n$  is the only integer such that  $\min_i \tau^i(K) = 0$ .

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## Proposition

If  $K \in \mathcal{K}(L(p, q))$  is local, then  $\tau_{sh}(K) = (0, \dots, 0)$ .

Then use Baker-Grigsby-Hedden's combinatorial reformulation of  $\widehat{HFK}(L(p, q), K)$ , compute a couple examples and find:  
 $\tilde{K} \in \mathcal{K}(L(3, 1))$  such that  $[\tilde{K}] = 0$  and

$$\tau(\tilde{K}) = \tau_{sh}(\tilde{K}) = (\tau^0(\tilde{K}), \tau^1(\tilde{K}), \tau^2(\tilde{K})) = (1, 0, 0)$$

$\tilde{K}$  is **not** almost-concordant to the unknot in  $L(3, 1)$ !

More generally  $\tilde{K}$  is not even almost-concordant to any local knot in  $L(3, 1)$  (and it is prime).

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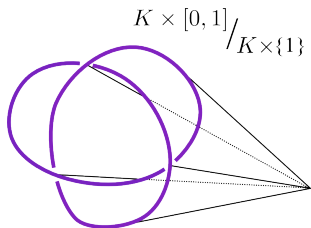
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A PL surface is a properly embedded surface in a 4-manifold, smooth everywhere except a finite number of singular points, which are cones over knots.



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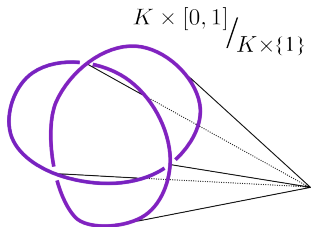
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